



05-28-02

1634/8

MAFF-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Juliet C. Einsmann
Group Art Unit : 1634
Applicants : Hirohiko Hirochika et al.
Application No. : 09/721,114 Confirmation No. : 2997
Filed : November 22, 2000
For : NOVEL GENE INVOLVED IN BRASSINOSTEROID RESPONSES

RECEIVED
MAY 29 2002
TECH CENTER 1600/2900

New York, New York
May 22, 2002

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

RECEIVED
MAY 30 2002
TECH CENTER 1600/2900

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☒ Response to Office Action; ☒ certified copy of Japanese Patent Application No. 2000-149106; ☒ Transmittal for Information Disclosure Statement (in dupl.); ☒ Information Disclosure Statement; ☒ Form PTO-1449 (in dupl.); ☒ copies of two (2) documents listed on Form PTO-1449; and Check for \$180; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

63/79/2002 BSEBHE1 00000106 09721114

01 FC:126

180.00 OP

EF230792564US

The additional fee has been calculated as shown below:

	Claims Remaining After Amendment	Highest Number Previously paid for	Present Extra	Rate	Fees
TOTAL CLAIMS	3	- 20	* = 0	X \$ 18 =	\$0.00
INDEPENDENT CLAIMS	1	- 3	** = 0	X \$ 84 =	\$0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$280 =	\$0.00
					TOTAL
					<u>\$0.00</u>

* If less than 20, insert 20.
** If less than 3, insert 3.

- ☐ A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☐ The following extension is applicable to the Response filed herewith; ☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$920.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); \$1,960.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

- ☐ A check in the amount of ☐ \$110.00; ☐ \$400.00; ☐ \$920.00; ☐ \$1,440.00; ☐ \$1,960.00 in payment of the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$110.00; ☐ \$400.00; ☐ \$920.00; ☐ \$1,440.00; ☐ \$1,960.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Grant Kalinowski (Reg. No. 48,314)
Agent for Applicants

FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000



06-28-02

#10

1634

MAFF-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Juliet C. Einsmann
Group Art Unit : 1634
Applicants : Hirohiko Hirochika et al.
Application No. : 09/721,114 Confirmation No. : 2997
Filed : November 22, 2000
For : NOVEL GENE INVOLVED IN BRASSINOSTEROID RESPONSES

RECEIVED
MAY 29 2002
TECH CENTER 1600/2900

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

RECEIVED
MAY 30 2002
TECH CENTER 1600/2900

New York, New York
May 22, 2002

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. § 1.97, this Statement is accompanied by:

- ☐ a certification as required under 37 C.F.R. § 1.97(e)(1) or § 1.97(e)(2);
- ☒ the fee as set forth in 37 C.F.R. § 1.17(p).

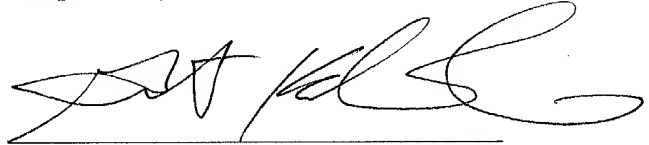
05/29/2002 SACSHEI 00000107 061075 09721114

01 FC:126 100.00 US

EF230792564US

The Director is hereby authorized to charge payment of any additional fees required in connection with the accompanying Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'JF Haley, Jr.', with a stylized flourish at the end.

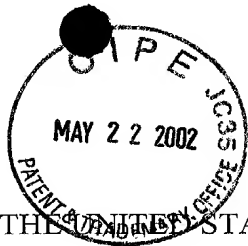
James F. Haley, Jr. (Reg. No. 27,794)

Attorney for Applicants

Grant Kalinowski (Reg. No. 48,314)

Agent for Applicants

c/o FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000
Fax.: (212) 596-9090



MAFF-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Juliet C. Einsmann
Group Art Unit : 1634
Applicants : Hirohiko Hirochika et al.
Application No. : 09/721,114 Confirmation No. : 2997
Filed : November 22, 2000
For : NOVEL GENE INVOLVED IN BRASSINOSTEROID RESPONSES

RECEIVED
MAY 29 2002
TECH CENTER 1600/2900

New York, New York
May 22, 2002

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

RECEIVED
MAY 30 2002
TECH CENTER 1600/2900

STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.56

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants make of record the following document, copies of which are submitted herewith:*

OTHER DOCUMENTS

Altmann, "Recent Advances in Brassinosteroid Molecular Genetics,"
Curr. Opin. Plant Biol. 1:378-83 (1998).

Schumacher & Chory, "Brassinosteroid Signal Transduction: Still
Casting the Actors," Curr. Opin. Plant Biol. 3:79-94 (2000).

* A completed Form PTO-1449 listing these documents is enclosed.

Applicants request that these documents be (1) fully considered by the Examiner during the examination of this application; and (2) printed on any patent that may issue from this application. Applicants also request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'JF Haley, Jr.', followed by a long horizontal flourish.

James F. Haley, Jr. (Reg. No. 27,794)

Attorney for Applicants

Grant Kalinowski (Reg. No. 48,314)

Agent for Applicants

c/o FISH & NEAVE

1251 Avenue of the Americas

New York, New York 10020

Tel.: (212) 596-9000

Fax.: (212) 596-9090